

**Study Title:** The Compliance Officer's Role, Duties and Responsibilities.

Thank you for taking the time to read this invitation. We are undertaking a research project survey on the Compliance Profession, and would like to invite you to take part in the survey by completing this anonymous questionnaire, which will take you only 12 minutes to complete! It is entirely up to you whether you participate but your responses would be valued.

The current emphasis on compliance, the roles, duties, obligations and status of compliance personnel are still relatively un-examined by scholars. The (a) invisibility of the compliance officer(s), (b) the fact that compliance has become a pivotal issue for banks and administrative service fee providers, (c) the increasing risks a company faces regarding data privacy, bribery and corruption, money laundering and (d) other industry-specific risks; as well as the fact that the Financial Times cited compliance as one of the 'hottest areas of financial recruitment', calling it the "age of the compliance officer" makes this survey and your responses of increasing importance, value and impact to the profession.

For the purpose of the current questionnaire survey neither your name nor any identifying details are required. The questionnaire can be completed anonymously and all reasonable steps will be taken to ensure confidentiality. Your IP address has been concealed as part of the construction of the questionnaire. Responses from completed questionnaires will be collated for analysis, with the intention of publishing the overall findings in an academic journal, and presented at a conference ([www.internationalcomplianceconference.com](http://www.internationalcomplianceconference.com)) to be held in Cyprus 8<sup>th</sup> of June, thus save the day. It is not anticipated that the questionnaires will be printed, however, if they are, they will be stored securely.

**Questionnaire instructions**

Please click on the link below in order to complete the survey. All of the numbered questions require an answer. Please respond by 5th of April 2018.

<https://www.surveymonkey.com/r/7V9YTGC>

We would greatly appreciate it if you can please forward this questionnaire to your colleagues or other people you know working in the compliance area.

Thank you for completing the questionnaire

If you have any concerns regarding this research please contact Dr. Maria Krambia-Kapardis on +35725002604 or [maria.kapardis@cut.ac.cy](mailto:maria.kapardis@cut.ac.cy)

Kind regards,

### **Research Team**

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